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<b>CERTIFICATE OF FACSIMILE TRANSMISSION 37 CFR § 1.8</b>			<b>Matter No.</b>
Applicant(s): Kevin M. Tresenriter			108412
<b>Serial No.</b>	<b>Filing Date</b>	<b>Examiner</b>	<b>Art Unit No.</b>
10/652,008	August 28, 2003	Not Yet Assigned	3624
<b>Invention:</b> System and Method for Making Trades			
<p>I hereby certify that the following: Facsimile Cover Sheet (1 page); Transmittal Form (1 page); Power of Attorney to Prosecute Applications Before the USPTO (1 page); Statement Under 37 CFR 3.73(b) (1 page); and authorization to charge additional fees that may be required, or credit any overpayment, to Deposit Account No. 50-1662 are being sent via facsimile transmission to Commissioner For Patents, at facsimile number 571-273-8300 on this 27th day of October, 2006.</p>			
<div style="text-align: right;">_____ Gayle Canfield Name of Transmitter</div>			
<div style="text-align: right;">_____  Signature of Transmitter</div>			
<div style="text-align: right;">_____ (571) 273-8300 Facsimile No.</div>			

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700 West 47th Street, Suite 1000 | Kansas City, MO 64112  
(816) 753-1000 | Facsimile: (816) 753-1536 | www.pswslaw.com

**October 27, 2006**

**From: James M. Stipek  
Direct Telephone No.: 816-360-4191  
Facsimile No.: 816-753-1536**

**Attorney Docket No.108412**

To	Company	Fax Number	Examiner's Telephone No.
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	U.S. Patent and Trademark Office	571-273-8300	

Number of Pages Transmitted (including this cover sheet): 5

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**Message:**

Applicant(s)	Kevin M. Tresenriter	Examiner	Not Yet Assigned
Serial No.	10/652,008	Art Unit No.	3624
Filed	August 28, 2003	Conf. No.	9890
For	System and Method for Making Trades		

Enclosed for submission, please find the following: Facsimile Cover Sheet (1 page); Certificate of Filing by Facsimile Transmission (1 page); Transmittal Form (1 page); Power of Attorney to Prosecute Applications Before the USPTO (1 page); Statement Under 37 CFR 3.73(b) (1 page); and authorization to charge additional fees that may be required, or credit any overpayment, to Deposit Account No. 50-1662. Please return a confirmation of the same to the above referenced facsimile number.

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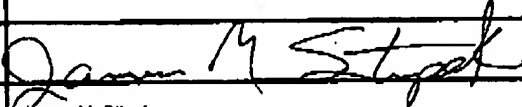
PAGE 2/5 \* RCVD AT 10/27/2006 6:18:43 PM [Eastern Daylight Time] \* SVR:USPTO-EFXXRF-5/4 \* DNIS:2738300 \* CSID: \* DURATION (mm-ss):01-30

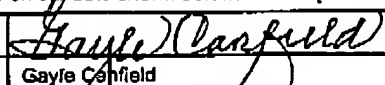
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/652,008	<b>RECEIVED CENTRAL FAX CENTER OCT 27 2006</b>
	Filing Date	August 28, 2003	
	First Named Inventor	Kevin M. Tresenliter	
	Art Unit	3624	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission	5	Attorney Docket Number	108412

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 3.73(b) Statement (1 page); Certificate of Facsimile Transmission (1 page).
<b>Remarks</b>  		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm	Polsinelli Sheltan Wetts Suelthaus PC		
Signature			
Printed Name	James M. Stipek		
Date	October 27, 2006	Reg. No.	39,369

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	Gayle Canfield	Date	October 27, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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OCT 27 2006

PTO/SB/80 (01-08)

Approved for use through 12/31/2008. OMB 0851-0035

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**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO**

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:

☒ Practitioners associated with the Customer Number:

27148

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number	Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:

☒ The address associated with Customer Number:

27148

OR

<input type="checkbox"/> Firm or Individual Name			
Address			
City	State	Zip	
Country			
Telephone	Email		

Assignee Name and Address:

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature	<i>Brett A. Lester</i>	Date	10/20/06
Name	Brett A. Lester	Telephone	913-491-9000
Title	Vice President and General Manager, Celeritas Technologies, L.L.C.		

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/98 (09-08)

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Kevin M. TresendlerApplication No./Patent No.: 10/439,167 Filed/Issue Date: August 28, 2003Entitled: System and Method for Making TradesCeleritas Technologies, LLC  
(Name of Assignee)a Limited Liability Company  
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014909, Frame 0136, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

James M. Slipak  
Signature

October 27, 2006

Date

James M. Slipak

816-360-4191

Printed or Typed Name

Telephone Number

\_\_\_\_\_  
Attorney of Record  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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